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DOI:

[10.1038/s41562-018-0452-y](https://doi.org/10.1038/s41562-018-0452-y)

Document Version

Peer reviewed version

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Citation for published version (APA):

Herd, P., Palloni, A., Rey, F., & Dowd, J. (2018). Social and population health science approaches to understand the human microbiome. *Nature Human Behaviour*, 2, 808–815. <https://doi.org/10.1038/s41562-018-0452-y>

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Combine social and population health science approaches to understand the human microbiome
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Abstract

19 The microbiome is now considered our ‘second genome’ with potentially comparable importance to the genome
20 in determining human health. There is, however, a relatively limited understanding of the broader
21 environmental factors, particularly social conditions that shape variation in human microbial communities.
22 Fulfilling the promise of microbiome research—particularly the microbiome’s potential for modification—will
23 require collaboration between biologists and social and population scientists. For life scientists, the plasticity
24 and adaptiveness of the microbiome calls for an agenda to understand the sensitivity of the microbiome to
25 broader social environments already known to be powerful predictors of morbidity and mortality. For social and
26 population scientists, attention to the microbiome may help elucidate nagging questions as to the underlying
27 biological mechanisms that link social conditions to health. We outline key substantive and methodological
28 advances that can be made if collaborations between social and population health scientists and life scientists
29 are strategically pursued.

30

31

32 We are an amalgamation of cells, both human and microbial, and there is growing evidence that the
33 trillions of microbes that inhabit the human body—collectively referred to as the human microbiota—have
34 profound implications for human health ¹. This complex human ecosystem belies the traditional dichotomy
35 between “good” and “bad” bacteria, giving way to a more nuanced consideration of changing and interacting
36 networks of microbes. The microbiome is now considered our ‘second genome’ with potentially larger
37 importance than the genome in shaping human health ². What makes the microbiome potentially so pivotal for
38 shaping health—and potentially of significant interest to social science and population health researchers—is its
39 plasticity, or ability to be altered, and, specifically, its responsiveness to the environment ³. Yet, despite rapid
40 technological progress in description and sequencing, gaps remain in our understanding of the broader
41 environmental factors that shape inter-individual variation in these microbial communities ⁴, particularly in
42 regards to how social conditions may influence this variation.

43 We argue that fulfilling the promise of microbiome research—particularly the human microbiome’s
44 potential for modification—will require closer collaboration between life scientists and social and population
45 health scientists who can consider the interaction of multiple levels of environmental exposures. The very
46 nature of the microbiome, particularly its plasticity and adaptiveness to the environment, opens the door to a
47 broader research agenda focused on how social conditions influence the microbiome. For analytic purposes, we
48 focus on early life conditions, socioeconomic resources, and social relationships as cases studies for the
49 possibilities these collaborations may hold. Decades of experimental and observational evidence demonstrate
50 these conditions influence morbidity and mortality at levels far exceeding individual behaviors like obesity or
51 even medical interventions like anti-hypertensives. For social and population scientists, attention to the
52 microbiome may help elucidate nagging questions as to the underlying biological mechanisms that link social
53 conditions to health, and promote attention to policy and other upstream factors that drive changes in the
54 microbiome at the population level.

55 In this paper, we first detail existing research on how social environments influence the gut microbiome,
56 focusing especially on early life conditions, socioeconomic factors and social relationships. We then outline
57 potential interdisciplinary collaborations across these three substantive areas, detailing ways in which existing
58 population based studies and methods could be employed to test novel hypotheses about the human gut
59 microbiome. In sum, we detail key substantive and methodological advances that can be made if collaborations
60 between the social and population sciences and life sciences are strategically pursued, with a particular, though
61 not exclusive, emphasis on the gut microbiome, where the largest number of microbial communities in the
62 human body can be found.

63 **Existing Research on Social Environments and Gut Microbiome**

64 The gastrointestinal tract is estimated to harbor roughly 90% of our indigenous microbes⁵. There is increasing
65 empirical evidence, both from animal and human population studies, that distal gut community patterns play an
66 important role in a broad range of physiological functions of their host, including immune system maturation,
67 metabolic and inflammatory processes, and even the brain and behavior via the ‘gut-brain axis’⁶. Indeed, the
68 gut microbiota is now implicated in a wide array of chronic diseases, including type-1 and type-2 diabetes,
69 inflammatory bowel disease, obesity, cardiovascular disease, and cancer, which remain among the leading
70 causes of morbidity and mortality in the developed-and increasingly developing-world^{1,2}. Moreover, there is a
71 robust body of research demonstrating how diet and nutritional factors influence the gut microbiome, which
72 may prove a key pathway linking diet to health.⁷ The rapid acceleration of research—including successful clinical
73 interventions involving fecal transplants—points to the general consensus that the gut microbiome could radically
74 transform research and interventions to improve human health.

75 Despite large advances, however, scientific knowledge of the gut microbiome—especially the way
76 broader environments shape its variability over the life course—remains in its infancy. Research is still limited
77 regarding how broader social environments and conditions shape exposures and ultimately influence its
78 composition, especially research employing human subjects. This limitation is important because recent

79 evidence demonstrated that the environment—rather than genetics—predominantly shapes human gut
80 microbial composition⁸. How this “environment” is defined and measured has not yet been well developed.
81 Yet, a small, but growing body of literature is looking at how our social environment shapes acquisition and
82 exchange of microbes—from the people we interact with to the environments in which we work and live?⁹⁻¹³.
83 In the section below, we explore existing research that touches on how our social environments, specifically
84 early life conditions, social relationships, and socioeconomic conditions, may shape the human gut microbiome
85 ⁹. Figure 1 outlines a general conceptual framework for potential pathways linking social environments and the
86 microbiome over the life course, some of which we highlight below.

87 [Insert Figure 1 Here]

88 *Early life Conditions*

89 It is well known that birth and early life are critical periods for the acquisition and development of an
90 individual’s microbiome. Broadly, prenatal and early life environments play an important role in developmental
91 trajectories for both the immune and stress response systems, with implications for developing microbiota as
92 well¹⁴⁻¹⁶. Since humans are born mostly (albeit not fully) microbially sterile, it is necessarily through interaction
93 with the social and physical environment around them that subsequent microbial colonization takes place.
94 Specifically, exposures such as mode of delivery and initiation and duration of breastfeeding influence its
95 composition, and these early life exposures are in turn shaped by one’s social status¹⁷⁻¹⁹. The quality of fetal
96 environments—such as proper nutrition—is strongly patterned by income and education, including in the
97 developed world²⁰⁻²². This remains true after birth. While 91 percent of mothers with a college degree breast
98 feed in the U.S., that rate falls to 69 percent for mothers with a high school degree. These rates fall to 28
99 percent and 14 percent for 6 months of exclusive breastfeeding²³.

100 We are beginning to see evidence that the lack of healthy microbiome development in children can have
101 severe and lasting consequences.²⁴ For example, evidence from fecal transplant studies in mice show that the
102 microbiomes of undernourished children negatively affect physical and cognitive development^{23,25}. Despite this

103 evidence of how extreme malnutrition in early life in the developing world alters the developing microbiome, we
104 have little evidence from the developed world regarding how variation in early life socioeconomic resources
105 influences the developing gut microbiome.

106 *Social Relationships*

107 Social relationships have long been linked to health and mortality, including inflammation and immune
108 response [22]. Indeed, the evidence is that the influence of social relationships on health and mortality exceeds
109 medical interventions such as quitting smoking and the use of anti-hypertensives, like statins ²⁶. Given the
110 robustness of this relationship, and the links between social relationships and inflammation and immune
111 functioning, it is not surprising that research is now beginning to explore whether and how social interactions
112 shape the microbiome.

113 In terms of existing research, studies using primate models suggest that social relationships impact the
114 composition of the gut microbiota through direct microbial sharing between individuals ²⁷⁻³⁰. Tung and
115 colleagues, for example, found that social network and social group predicted the species in the gut microbiome
116 of 48 wild baboons, even after adjusting for other shared factors including kinship, diet, and shared
117 environments, suggesting the importance of direct physical contact during social interactions in transmitting gut
118 microbiomes. A few human studies have begun to document these relationships. For example, two recent
119 studies found that individuals living together had more similar gut ¹⁸ and skin ^{18,19} microbiota than did those
120 living apart. Some even hypothesize that microbes can help explain the evolution of social behaviors ³¹; the
121 effect of microbiota on the hosts' central nervous system could operate via chemical signals that are used as
122 social communication. This manipulation could benefit fitness of bacteria, such as reproduction and
123 transmission and food cravings and preferences ^{32,33}.

124 But despite some tantalizing evidence of social relationships influence on the gut microbiome, studies in
125 human populations remain relatively small in number. Nonetheless, there is related evidence to support further
126 exploration of connections between social relationships and the gut microbiome. There is growing empirical

127 support for links between social and physical environments. Humans sharing homes having more similar skin
128 microbiomes compared to those not sharing a home, likely due to skin shedding, respiratory activity, and skin-
129 surface contact ³⁴. When families moved, their microbial signature followed them to the new home, and
130 individuals who left the home for several days saw a decline in their contribution to the home microbiome ³⁴.
131 These findings suggest a mechanism for social transmission of bacterial communities through the built
132 environment, which could apply to socially shared spaces such as schools, work, and public transportation. In
133 light of this, as well as recent primate evidence that “immigrant” males share gut microbiome characteristics
134 from both their birth and adult communities ³⁵, life course residential and migration histories could play an
135 important role in human microbiome dynamics.

136 *Socioeconomic Conditions*

137 Extensive research shows that adult socioeconomic resources, in addition to early life socioeconomic
138 resources, influence morbidity and mortality. Life expectancy difference at age 25 can differ by as much as 16
139 years between those with the lowest and highest levels of educational attainment ³⁶. While there is little direct
140 existing evidence linking adult socioeconomic resources to the gut microbiome, the link is highly plausible ¹².
141 The gut microbiome is strongly implicated in metabolic and inflammatory disorders. Adult socioeconomic
142 resources, in turn, pattern chronic inflammatory diseases and metabolic disorders, ranging from diabetes to
143 heart disease. The prevalence of diabetes is twice as high among those with lower compared to higher
144 educational attainment. Among those with diabetes and myocardial infarction, the mortality risk is substantially
145 greater for those with lower educational attainment and incomes ³⁷. Moreover, there is a robust literature
146 linking socioeconomic status to inflammatory markers, such C-reactive protein, more generally. To some extent,
147 this pathway is linked via behaviors. In the U.S., obesity prevalence is 28 percent for women with a college
148 degree compared to 45 percent for women without a high school degree ³⁸. Given the already robust
149 evidentiary body linking diet to the gut microbiome, dietary behaviors may be a key path linking socioeconomic
150 status to the gut microbiome.

151 In addition to possible behavioral pathways that could adult socioeconomic resources to the gut
152 microbiome, there is emerging evidence of the role of psychosocial stress in modulating the microbiome^{33,39,40}.
153 This is important because psychosocial stress is a key pathway between many social environments (such as
154 limited socioeconomic resources and social relationships) and morbidity and mortality outcomes⁴¹. Perhaps
155 most striking is a study of bees that demonstrated position in their social hierarchies influenced the gut
156 microbiome, with both diet and stress mediating these relationships⁴². Rodent models have convincingly shown
157 that exposure to psychological stressors can alter the gut microbiome, through neuroendocrine response, the
158 integrity of barrier defenses, and the internalization of microbes⁴³. In mice, exposure to social stressors has
159 been shown to alter homeostatic interactions between the intestinal microbiota and the immune system,
160 leading to increased susceptibility to enteric infection, and overproduction of inflammatory mediators that
161 induce anxiety-like behavior⁴⁴. States of isolation, such as maternal neglect, appear to influence the gut
162 microbial composition in animal models⁴⁵ at least in part through stress^{43,46}. For example, in captive rhesus
163 monkeys, maternal separation stress induced reductions in lactobacilli in intestinal microflora and higher rates
164 of opportunistic enteric infection⁴⁵. Prenatal stress in mothers has also been shown to impact the microbiota of
165 offspring in mice, which in turn decreased the abundance of this bacterium in the gut microbiota of their
166 offspring⁴⁷. More generally, there is some evidence that maternal stress on child anxiety and mental health
167 disorders may be modulated by the gut microbiome⁴⁷. These alterations were subsequently related to changes
168 in the offspring's metabolite profiles involved in energy balance, as well as with disruptions of amino acid
169 profiles in the developing brain^{47,48}.

170 We do want to note that we have largely highlighted influences of social conditions on the microbiome.
171 There is, however, empirical evidence supporting the idea that the composition of the microbiome may
172 modulate individual behaviors, preferences, and choices and thus potentially shape individuals' social
173 interactions and environments. If confirmed this bacterial "manipulation" of the human host has perplexing
174 implications for the evolution of phenotypical traits. This is an active area of study, mostly with animal models,

175 and has already sparked controversy regarding the likely (or unlikely) sustainability of a strategy involving
176 bacterial exploitation of their hosts³³.

177 **The Need for Better Data**

178 Overall, the existing evidence provides a strong rationale for the potential importance of social
179 conditions for the dynamics of gut microbial composition across the life course, but thus far population-based
180 evidence to confirm these relationships is limited. To move forward, the significant challenge of data availability
181 needs to be addressed. While animal models have been invaluable in understanding the mechanisms underlying
182 gut microbial composition and function, including the possible influence of social conditions, they are
183 constrained by some important limitations—some of which are general issues with animal models, some specific
184 to the study of the gut microbiome. First, the basic biological variance between mice (and some primates) and
185 human models may limit the potential to translate what we learn about mice to humans^{49,50}. Second, even if
186 we overcome this challenge, animal studies are somewhat constrained in their ability to examine how more
187 complex social phenomena, like human social relationships and networks, influence the gut microbiome. While
188 we can draw useful parallels, similar to basic biological differences, the social and cognitive differences between
189 humans and animals constrain comparisons.

190 Existing human studies also have some important limitations, especially if the goal is to explore how
191 social environments influence the gut microbiome. Early human gut microbiome studies were constrained by
192 small, non-randomly selected, samples. For example, the NIH directed and funded Human Microbiome Project
193 (HMP) to map the healthy human microbiota in 2012 was conducted on a single non-random sample of 256
194 individuals from St. Louis and Houston, most of whom were researchers and students⁵¹. Despite the small
195 number of non-whites in the HMP sample, comparisons were made across race/ethnicity (Asian, Black, Mexican,
196 Puerto Rican, White), with the investigators reporting that a “wide variety of taxa, gene families and metabolic
197 pathways were differentially distributed with subject ethnicity at every body habitat, representing the
198 phenotype with the greatest number...of total associations with the microbiome⁵¹.” These incidental findings of

199 strong associations with race/ethnicity suggest the need to characterize the microbiome in diverse, population-
200 based samples. Yatsuneko, et al (2012) also highlighted the need for diverse samples, showing for the first time
201 strong geographical differences in microbiome structure and function for residents of the US compared to the
202 Amazon in Venezuela and rural Malawi.

203 More recently, there have been attempts to collect much larger samples⁵², but these attempts fall far
204 short both of population representativeness and measurement of the ‘macroenvironment’. Voluntary
205 crowdsourcing models such as The American Gut Project (AGP), (<http://americangut.org>) or UK-based
206 MapMyGut (<https://mapmygut.com>) have been shown to be particularly non-representative. For example, only
207 6 percent of respondents in the AGP are obese compared to a 37 percent adult obesity rate overall in the U.S.⁵³.
208 As interest in the microbiome grows, larger studies are including microbiome collection. Key examples include
209 the Belgian Flemish Gut Flora Project and the Dutch LifeLines Study^{52,54}. Both studies include rich detail in
210 regards to biological, anthropomorphic and general health data, however they contain more limited data on
211 social environments—socioeconomic, family, work, and community—as compared to social and demographic
212 based population health studies. Moreover, participants were not randomly selected, but rather were recruited
213 through media campaigns, thus introducing selection and sample bias. The TwinsUK Study, one of most prolific
214 of human studies of the gut microbiome thus far, was designed with a specific biomedical focus on the
215 heritability of common diseases, with only superficial attention to the social environment. The
216 disproportionately female and white volunteer sample does not reflect the race/ethnic and socioeconomic
217 diversity of the overall UK population⁵⁵⁻⁶². Importantly, the highly selected nature of these samples limits
218 variation in both the microbial exposures and phenotypic outcomes of interest, reducing their analytical
219 potential and ultimately their scientific generalizability.

220 What are the implications of non-representative samples in this emerging science? One related
221 cautionary tale comes from the neuroscience of brain development. This research has largely been conducted
222 on non-representative ‘convenience’ samples of volunteers, leading some to argue that these study findings

may be skewed⁶³. A recent study supports this contention. Researchers compared a representative and non-representative sample of children in an imaging study focused on brain development; findings in data that better represented the population were markedly different than those in the unrepresentative sample showing a very different pattern of how differing regions of the brain develop as children age⁶⁴. While this scenario may or may not repeat itself for existing microbiome and health research, it will be important to be aware of the heterogeneity of associations across different populations and how and why this variation may arise.

Collaborative Opportunities with Population Health Sciences

Given existing limitations, in this section we detail ways in which existing population based studies and methods could be employed to test novel hypotheses about social environments and the gut microbiome. But to start, we want to emphasize the broader potential methodological contributions that population health scientists might make to this field. One of the central challenges of human microbiome research, much like basic social science research, is how to demonstrate causal relationships. While animal models provide a straightforward platform, in and of themselves, they are not sufficient to fully explore the social determinants of the gut microbiome. Observational human microbiome studies, however, can suffer from familiar issues related to unobserved confounding and causal inference.

Collaborations between life science and social and population health researchers, however, can draw upon a long history in the social sciences of methods to improve causal inference in observational data, including family based designs, natural or “quasi-experiments”, as well as population-based field experiments⁶⁵. Opportunities for such research designs require microbiome data from ongoing, preferably longitudinal, studies with rich social, environmental and phenotypic data on participants. Since the largest cost in obtaining data from a large representative population is drawing the sample frame and the initial enrollment of participants, we argue that adding microbiome to existing population surveys is the most cost-effective approach relative to designing new studies from scratch. Many long-running population based studies (e.g. the Panel Study of Income Dynamics) follow families, which would allow for testing for intergenerational effects. Most large

247 longitudinal population based surveys also already collect biological data, ranging from blood to saliva. This
248 would allow microbiome analysis in conjunction with high quality health data (including genetic and epigenetic
249 data), while also leveraging the experience of these studies in getting their participants to provide these types of
250 more sensitive data ⁶⁶.

251 An ideal study design to investigate some of the pathways alluded to above should satisfy three
252 conditions. First, as most population studies aspire to be, it should be representative of a target metapopulation
253 rather than based on highly selected samples which preclude more than modest generalization of inferences.
254 Second, it should be flexible enough to maximize opportunities to make genuine causal statements rather than
255 being a source of association measures which, in most cases, cannot be elevated to the status of estimates of
256 causal effects, e.g. uncontaminated by omitted variable biases/confounding or selection mechanisms of various
257 types. Third, because many of the relations portrayed in Figure 1 are a function of lags and delayed impacts, the
258 ideal study should be longitudinal and a source of information on events that unfold over multiple stages in the
259 life course of individuals.

260 *Early Life Conditions*

261 Over the last 10 to 15 years, research on the Developmental Origins of Adult Health and Disease (DOHaD) has
262 produced robust empirical evidence suggesting the prenatal and early postnatal exposures have a strong
263 influence on early growth and development and, under some conditions, have significant delayed impacts on
264 adult health outcomes ⁶⁷⁻⁷¹. The first three years of life are crucial for colonization of the gut microbiome ^{72,73}.
265 This points to the configuration of the microbiome as one pathway through which early conditions may operate,
266 calling for social and population health scientists working under the DOHaD paradigm to explicitly include
267 investigation of the microbiome over the life course.

268 Both bodies of research confront remarkably similar problems such as the existence of critical and
269 sensitive periods, accumulation of damage and synergies over the life course, path dependencies, and
270 reversibility properties ^{41,74-77}. It is likely that these problems, to which DOHaD and microbiome researchers

271 have arrived independently, might have common solutions. Moreover, both groups are pointing to epigenetic
272 modifications as an important mechanism through which embryonic and prenatal exposures, on one hand, and
273 composition of the microbiome, on the other, may sometimes operate ^{71,78-81}.

274 While there are many examples of potentially fruitful joint research, an area that offers promise of very
275 immediate rewards concerns the effects of early nutritional status and nutritional shocks on growth,
276 development and adult health outcomes. Barker's seminal research implicated fetal nutritional impairments as
277 an important determinant of adult chronic conditions, including obesity, coronary heart disease and Type 2
278 Diabetes, whereas a large literature in population health sciences investigates the effects of infant and child
279 nutrition on diseases in adult mortality and disability (for a review see ⁸²). Until recently, this body of research
280 made no reference to the relation between nutrition and microbiome. New research suggests that a
281 paradigmatic shift is in order. A study of Malawian malnourished infants demonstrated, via fecal transplantation
282 in mice, that 'gut microbial immaturity is causally related to child malnutrition' as "immature microbiota
283 transmit impaired growth, altered bone morphology, and metabolic abnormalities..."⁸³. This could be a smoking
284 gun, as it shows that the microbiome is one mechanism that mediates the association of prenatal or neonatal
285 malnutrition and later morbidity and mortality. If replicated, it provides a heretofore unknown and modifiable
286 pathway.

287 Establishing relations involving the microbiome may also be required to fully understand other
288 processes identified by DOHaD (and variants), such as those relating early exposure to acute stress and later
289 mental health ⁸⁴⁻⁸⁶, childhood poverty and adversity to late onset of chronic illnesses ⁸⁷⁻⁸⁹, exposure to shocks
290 such as influenza, natural disasters, wars to a broad array of chronic ailments ⁹⁰, or recurrent childhood or
291 adolescent infectious diseases, sustained inflammation, and later heart and circulatory ⁹¹⁻⁹⁴.

292 But how can we explore these questions? One approach involves exploiting quasi experimental
293 conditions generated by famines, including the Dutch Famine ⁹⁵ and the Great Chinese Famine ⁹⁶, which produce
294 quasi randomly selected subpopulations exposed and unexposed to a "treatment". Studies of the Dutch famine

295 have uncovered, in samples of mid-life and older adults, that those exposed to the famine in utero, compared to
296 those in utero in the months just preceding the famine, have everything from higher mortality rates from
297 cardiovascular diseases to differences in DNA methylation⁹⁷⁻⁹⁹ linked to metabolic health and transgenerational
298 impacts on metabolic health. Differences in DNA methylation explained a significant portion of the differences
299 in metabolic health between those with and without exposure to the famine in utero. Data on the gut
300 microbiome could be added to these existing cohorts, with people currently in their 60s (Great Chinese Famine)
301 and 70s (Dutch Famine). This could elucidate relations conjectured by DOHaD.

302 Though less common, RCTs, or field experiments are also being conducted to measure the impact of
303 social interventions on health outcomes in larger population based samples. For example, a newly launched
304 randomized (conditional) cash transfer experiment is enrolling one thousand infants, to track how a randomly
305 assigned increase in income (\$333 a month) affects cognitive development in poor children¹⁰⁰. A wealth of
306 longitudinal and quasi-experimental research points strongly to the influence of poverty on maternal stress and
307 mental health, as well as the infants' cognitive development in early life. The addition of data on the gut
308 microbiome would allow for the testing of the role of the gut microbiome as a mediator in these relationships.
309 As already previously detailed, studies showing connections between the gut microbiome, maternal stress, and
310 cognitive development would suggest these are pathways worth exploring.

311 Furthermore, there are a growing number of studies that involve controlled interventions that monitor
312 large populations over extended periods of time. Thus, studies built around cash transfers programs, such as
313 Progresa in Mexico, have become an ideal study design that many other low to middle income countries are
314 following, thus generating massive data sets on a multiplicity of conditions and outcomes^{101,102}. In addition,
315 international organizations such as the World Bank, IFPRI, BID, and WHO periodically initiate studies that involve
316 a multiplicity of social protection interventions and are designed to facilitate causal inference. Experiments
317 involving cash transfers or those based on nutritional interventions could add a module to collect information on

318 pregnant women, maternal health and nutrition, peri and postnatal birth exposures, microbiota composition
319 and various childhood outcomes.

320 Lastly, although the empirical evidence is still too fragile to confirm them, DOHaD and related theories
321 pose conjectures involving transgenerational effects of some significance. To the extent that these may be
322 contingent or directly influenced by changes in the microbiome, there will be ample room to test evolutionary
323 biology hypotheses about the development (and disappearance) of phenotypical traits with strong impacts on
324 reproduction and longevity. Thus, large population studies with the characteristics described above will not only
325 be useful to population health scientists, but could have potential large spill over effects benefitting the growth
326 of other disciplines.

327 *Socioeconomic Conditions*

328 Social and population health scientists have spent decades gathering and analyzing data that
329 demonstrates that socioeconomic markers, such as education, income and wealth matter a great deal for adult
330 health and mortality, as we detailed earlier ¹⁰³. Evidence linking behavioral factors, like obesity, and
331 psychosocial stress, to both the gut microbiome and socioeconomic health disparities, provide a plausible basis
332 for testing whether adult socioeconomic resources influence the gut microbiome [14, 15, 39, 101] To date,
333 however, there is virtually no work exploring the potential of gut microbial composition as a biological
334 mechanism linking adult SES to morbidity and mortality outcomes.

335 Existing population based longitudinal studies that have documented the influence of income and
336 educational attainment on morbidity and mortality are large in number and increasingly include a wide array of
337 more basic biological data collected from saliva, blood and even urine, hair and nail samples ¹⁰⁴. The addition of
338 microbial data, then, would be a natural extension. These studies follow large populations of cohorts for
339 extended periods of time and are a source of very rich information. Examples include the Health and Retirement
340 Study (HRS), and its sister studies around the world, the National Study of Adult and Adolescent Health
341 (AddHealth), and the British Cohort Studies.

342 Although these studies are not designed to be experimental, changing exogenous conditions sometimes
343 induce a quasi-experimental set up that can be exploited. For example, the HRS in the United States has
344 provided rich information on the effects of the Great Recession on individual health status changes¹⁰⁵. The HRS,
345 in addition to many other population based studies, has been used to test the influence of changes in schooling
346 laws, as a source of exogenous change, on health, with outcomes ranging from diabetes and strokes to later life
347 cognitive decline and mortality [104-105]. Many studies, including the HRS and AddHealth, now include genetic
348 data, which allow for a Mendelian randomization approach—drawn from genetic variants linked to educational
349 attainment—to test the causal influence of educational attainment on health¹⁰⁶⁻¹⁰⁸. Many of these studies could
350 be replicated to test the influence of these exogenous changes on microbial composition, for example.

351 Combining animal experiments with insights from the kinds of observational human data detailed above
352 may offer an especially unique methodological strategy to strengthen causal findings. There is, of course,
353 already precedence for this. For example, a well-known study found that the transplantation of gut microbiota
354 from obese humans to lean germ free recipient mice transfers an increased adiposity phenotype relative to
355 transplants from lean donors [79]. This approach relies on hybrid human/animal studies to produce a robust
356 causal design. They first find associations between phenotypes (like obesity in this case) and the composition of
357 the gut microbiome and then they use animal models (with human fecal samples) to further test the causality of
358 that associational relationship. For example, we know the chronic inflammatory conditions, like diabetes, are
359 more deadly for those with low compared to high educational attainment, even after accounting for BMI³⁷.
360 Fecal transplants drawn from those with Type 2 diabetes, but who varied in their educational attainment and
361 were comparable on characteristics like BMI could then be transplanted into mice to see how the gut microbiota
362 influenced morbidity and mortality outcomes in these models. Is the gut microbiome a biological mechanism
363 that can help clarify why lower levels of educational attainment are so harmful for health?

364 *Social Relationships*

365 Social and behavioral scientists have also shown that quality, quantity and duration of intimate contact and
366 social relations are important for health, potentially as a buffer from stress. (For reviews see ^{109,110}). Across a
367 wide array of empirical designs, ranging from animal models to human longitudinal studies and randomized
368 controlled trials, the relationship between social relationships and health and mortality is the most well
369 documented among specific social conditions that influence health and mortality ¹¹¹. Indeed, a recent review
370 found that social relationships are a stronger predictor of mortality than is smoking ¹¹¹. Limited social
371 interactions may contribute to reduced diversity in gut microbial communities among older persons or the
372 socially isolated, another plausible biological mechanism for these strong associations of social relationships and
373 health. As already detailed, there is some evidence from primate models, that social relationships, perhaps in
374 part via direct microbial sharing, exerts an influence on gut microbial composition. Indeed, a recent study
375 demonstrated that the oral microbiome infiltrates the gut microbiome, supporting evidence for microbial
376 exchange via salivary mechanisms ¹¹². This area is ripe for study in human models to further test and elucidate
377 pathways between social interactions, gut microbial composition and morbidity and mortality outcomes.

378 Our recent data collection in the Wisconsin Longitudinal Study (WLS) took a step in the direction of
379 integrating social and biological approaches to the gut microbiome. This cohort of nearly 10,317 1957 Wisconsin
380 high school graduates, their spouses and a subsample of siblings ¹¹³ has been followed the past 60 years and
381 includes extensive social and phenotypic measurement of everything from high school records, education and
382 occupation histories, to childhood conditions, health status, cognition, disability, mortality, and genetic data.
383 Fecal samples from a randomly selected subsample of 436 participants were recently collected, targeting a
384 mixture of sibling and spousal pairs in their mid-70s ⁶⁶.

385 Because the subsample includes siblings as well as spouses, most of whom have been married and lived
386 together their nearly entire adult lives, we were able to compare microbiome composition among those who
387 share environments due to living arrangements across most of their adult lives to those who share only early
388 upbringing conditions. We found ¹¹⁴ that shared environments in adult life had a stronger influence on microbial

389 composition in later life than did shared early life environments. This provided a mechanism to test the relatively
390 plasticity of the gut microbiome in population-based data. We also found that the similarity between spouses,
391 as compared to unrelated individuals, was entirely driven by married couples who reported they had a very
392 close relationships; in short, the shared gut microbial composition of married couples who rated their
393 relationship as “somewhat” good was similar to that of unrelated individuals.

394 Modest as it may be, this finding alone is important, for it generates new questions and problems. First,
395 it is somewhat unexpected given extant empirical evidence that points to the early colonization and stable
396 character of the microbiome³. Second, it confirms other findings in epidemiology according to which
397 environments shared by siblings explain only a small fraction of adult outcomes¹¹⁵. Finally, one puzzle to resolve
398 is whether or not the impact of shared environments by spouses on the microbiome is part of a chain of events
399 that accounts for within couple similarity in chronic illness¹¹⁶.

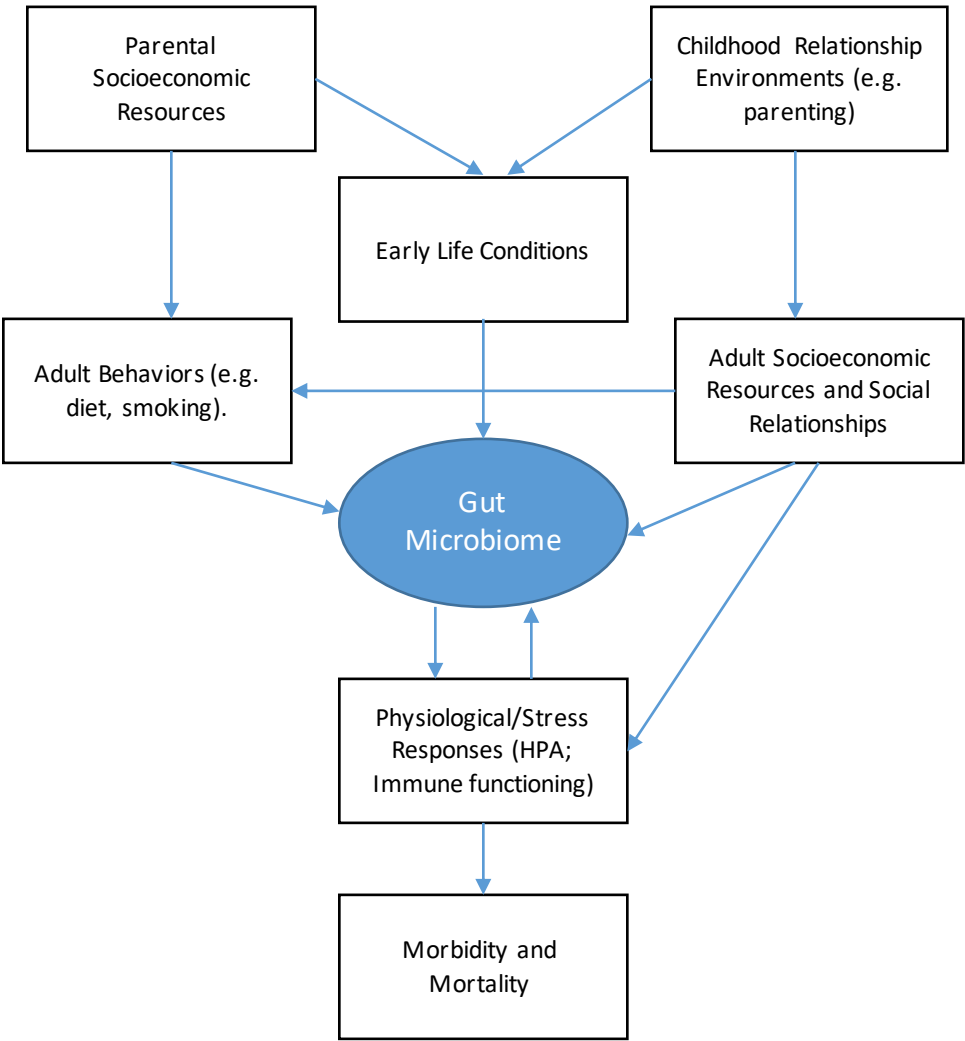
400 **Conclusion**

401 We believe these early days of microbiome research offer exciting opportunities for collaborations between the
402 life sciences and the social and population health sciences, a time when theory and measurement in both realms
403 is still developing and before disciplinary conventional wisdom has the chance to solidify. For social and
404 population health scientists, the study of the microbiome may help elucidate currently unknown biological
405 pathways that link social conditions to health and mortality, and provide a target for intervention.

406 For biologists, collaborations with social and population health scientists can enhance knowledge of a
407 new range of environmental factors that may influence microbial composition and, in turn, health. Insights from
408 the social and behavioral health research can also help contextualize existing findings. For example, the robust
409 evidentiary base linking diet and the gut microbiome should be considered in the context of broader population
410 health research that documents how structural conditions, ranging from economic resources to neighborhood
411 environments, constrain nutritional choices individuals make.

412 Both fields will benefit from the joining of social science and basic biological methodological approaches.
413 Embedding animal models in the context of population based studies provides a novel approach to improving
414 causal methods for social and population scientists. Having access to large, population representative,
415 longitudinal based studies with high quality phenotypic measures can vastly expand the quality of research
416 produced by biologists. Success will ultimately be measured by the ability of scientists across all disciplines to
417 understand how the microbiome influences human health and social trajectories (and vice versa), and how
418 social and medical interventions may use this knowledge to improve both individual well-being and population
419 health.
420

Figure 1. Proposed Relationships between Social Conditions, the Gut Microbiome, and Morbidity and Mortality



Note: This figure is not intended to be a comprehensive overview of all possible causal relationships. It suggests where social and population health scientists are best positioned to contribute to microbiome research, focusing especially on the possible pathways between social conditions and the gut microbiome.

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694 **Acknowledgements**

695 The authors wish to thank members of the Rey laboratory for their insights and support. This work was supported
696 by the National Institute of Food and Agriculture, U.S. Department of Agriculture (2016-67017-24416, FER), the
697 National Institutes for Aging, National Institutes for Health (AG041868, PH), the Center for the Demography of
698 Health and Aging (AG017266, PH), and the Clinical and Translational Science Award (CTSA), National Institutes for
699 Health National Center for Advancing Translational Sciences (NCATS) (UL1TR000427, ZZT). Additional support was
700 provided by the Vice Chancellor for Research and Graduate Education (VCGRE) at the U. of Wisconsin-Madison.
701 The content is solely the responsibility of the authors and does not necessarily represent the official views of the
702 NIH.

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704 **Author Contributions**

705 PH, JD, and AP contributed to the conceptualization and writing of the manuscript. FR contributed to the
706 conceptualization of the manuscript.

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708 **Competing Interests**

709 The authors declare that we have no competing interests as defined by Nature Research, or other interests that
710 might be perceived to influence the results and/or discussion reported in this paper.

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